

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**REQUEST FOR FILING NATIONAL PHASE OF**  
**PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495**

09/869069

To: Hon. Commissioner of Patents  
 Washington, D.C. 20231

  
 00909

TRANSMITTAL LETTER TO THE UNITED STATES  
 DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 281445 /2990008US/A/HER  
 M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group: Date: June 22, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

1. International Application	2. International Filing Date	3. Earliest Priority Date Claimed
<u>PCT/FI00/00025</u>	<u>14 January 2000</u>	<u>15 January 1999</u>
<u>↑ country code</u>	Day      MONTH      Year	Day      MONTH      Year

Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a)  20 months from above item 3 date    (b)  30 months from above item 3 date,  
 (c) Therefore, the due date (unextendable) is July 15, 2001

Title of Invention FLOW CONTROL METHOD IN A TELECOMMUNICATIONS SYSTEM

Inventor(s) RASANEN et al.

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7.  Please immediately start national examination procedures (35 U.S.C. 371 (f)).

8.  **A copy of the International Application** as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

- Request;
- Abstract;
- 18 pgs. Spec. and Claims;
- 1 sheet(s) Drawing which are  informal  formal of size  A4  11"

9.  **A copy of the International Application has been transmitted by the International Bureau.**

10. **A translation of the International Application** into English (35 U.S.C. 371(c)(2))

- is transmitted herewith including: (1)  Request; (2)  Abstract;  
 (3) \_\_\_\_\_ pgs. Spec. and Claims;  
 (4) \_\_\_\_\_ sheet(s) Drawing which are:  
 informal  formal of size  A4  11"
- is not required, as the application was filed in English.
- is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
- Translation verification attached (not required now).

531 Rec'd PCT/T/T

22 JUN 2001.

11.  Please see the attached Preliminary Amendment

12.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., before 18th month, from first priority date above in item 3, are transmitted herewith (file only if in English) including:

13.  PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau

14.  Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).

15. A declaration of the inventor (35 U.S.C. 371(c)(4))  
 a.  is submitted herewith       Original       Facsimile/Copy  
 b.  is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

16. An International Search Report (ISR):  
 a. Was prepared by  European Patent Office       Japanese Patent Office       Other  
 b.  has been transmitted by the international Bureau to PTO.  
 c.  copy herewith (2 pg(s).)       plus Annex of family members (1 pg(s)).

17. International Preliminary Examination Report (IPER):  
 a.  has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
 b.  copy herewith in English.  
 c.1  IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
 c.2  Specification/claim pages #\_\_ claims #  
 Dwg Sheets #  
 d.  Translation of Annex(es) to IPER (required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled).

18. Information Disclosure Statement including:  
 a.  Attached Form PTO-1449 listing documents  
 b.  Attached copies of documents listed on Form PTO-1449  
 c.  A concise explanation of relevance of ISR references is given in the ISR.

19.  Assignment document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.

20.  Copy of Power to IA agent.

21.  Drawings (complete only if 8d or 10a(4) not completed): \_\_ sheet(s) per set:  1 set informal;  Formal of size  A4  11"

22. Small Entity Status  is Not claimed  is claimed (pre-filing confirmation required)  
 22(a) \_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)

23. Priority is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) FINLAND of:

	Application No.	Filing Date	Application No.	Filing Date
(1)	990071	15 January 1999	(2)	
(3)			(4)	
(5)			(6)	

a.  See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.  
 b.  Copy of Form PCT/IB/304 attached.

**RE: USA National Phase Filing of PCT/FI00/00025**

24. Attached: Preliminary Amendment

25 Per Item 17.c2, cancel original pages #\_\_\_\_\_, claims #\_\_\_\_\_, Drawing Sheets #\_\_\_\_\_.  
\_\_\_\_\_

26. **Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**  
Based on amended claim(s) per above item(s)  12,  14,  17,  25 (hilite)

Total Effective Claims      22                  minus 20 =      2                  x \$18/\$9      =      \$36      96/967  
 Independent Claims      2                  minus 3 =      0                  x \$80/\$40      =      \$0      96/4965  
 If any proper (ignore improper) Multiple Dependent claim is present, add \$270/\$135      +0      96/8969

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): ➤➤➤ BASIC FEE REQUIRED, NOW ➤➤➤➤**

A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was not prepared by EPO or JPO ----- add\$1000/\$500 960/961  
2. Search Report was prepared by EPO or JPO ----- add\$860/\$430 +860 970/971

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA".**

→  B. If USPTO did not issue both International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), ----- add\$1000/\$500 +0 960/961

→  C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), ----- add\$710/\$355 +0 958/959

→  D. If USPTO issued IPER but IPER Sec. V boxes not all 3 YES, ----- add\$690/\$345 +0 956/957

→  E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) satisfied (IPER Sec. V all 3 boxes YES for all claims), ----- add \$100/\$50 +0 962/963

27

**SUBTOTAL = \$896**

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ---\$40      +40      (581)  
29. Attached is a check to cover the - - - - - **TOTAL FEES** \$936

29 Attached is a check to cover the - - - - - **TOTAL FEES** \$936

Our Deposit Account No. 03-3975  
Our Order No. 60258 | 281445



00909

**CHARGE STATEMENT.** The filer is hereby authorized to charge any fee specifically authorized hereafter, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

Atty/Sec: CHM/JRH

By Atty: Christine H. McCarthy Reg. No. 41844  
Sig: CHM Fax: (703) 905-2500  
Tel: (703) 905-2143

**NOTE:** File in duplicate with 2 postcard receipts (PAT-103) & attachments.

09/869069

531 Rev'd PCT 22 JUN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Stage Application of PCT/FI00/00025

RASANEN et al.

Group Art Unit: Not Yet Assigned

Appln. No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 22, 2001

FOR: FLOW CONTROL METHOD IN A TELECOMMUNICATIONS SYSTEM

\* \* \* \* \*

June 22, 2001

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents  
Washington, DC 20231

Sir:

Before beginning examination, kindly amend the above-identified application as follows:

IN THE SPECIFICATION:

Please insert the following paragraph at page 9, line 9:

The flow control mechanism may be a mechanism using Flow Control ON/Flow Control OFF type of flow control. In this case, when the flow control is set ON by the receiving network element on one side of the tunnel, e.g. in the RNC, the transmitting network element, e.g., the MSC, on the other side of the tunnel sends no data until flow control is set OFF. Alternatively, a dynamic flow control mechanism may be used. In this mechanism, the RNC tells the MSC to reduce the data rate when the need for flow control is recognized. For example, the data rate may be reduced to 75 %, 50 %, 25 % or 0 % of the nominal data rate of the connection.

IN THE CLAIMS:

Please amend the claims as follows:

1. (Amended) A data transmission method in a telecommunications system,

comprising a step of

transmitting data over a connection comprising a first leg supporting flow control on a lower transmission protocol level underlying a user level, an intermediate second leg not supporting flow control on the lower transmission level, and a third leg supporting flow control on the lower transmission protocol level,

tunnelling lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

2. (Amended) The method as claimed in claim 1, comprising tunnelling said flow control information as in-channel signalling over the second leg.

3. (Amended) The method as claimed in claim 1, wherein said second leg is an ATM connection, and said lower transmission protocol level includes an ATM adaptation layer.

4. (Amended) The method as claimed in claim 3, comprising encapsulating the flow control information in an ATM adaptation layer service data unit, transporting the ATM adaptation layer service data unit to the other end of the second leg in accordance with an ATM network protocol,

extracting the flow control information from the ATM adaptation layer service data unit at said other end of the second leg.

5. (Amended) The method as claimed in claim 4, comprising inserting the flow control information in the ATM adaptation layer service data unit in one of the following ways:

inserting an octet carrying the flow control information before a first user data octet in a payload field of the ATM adaptation layer service data unit,

inserting a bit or bits carrying the flow control information before first user data bits in a payload field of the ATM adaptation layer service data unit,

inserting an octet or a bit or bits carrying the flow control information in the ATM adaptation layer service data unit as the only payload information in the payload field, or

inserting an octet or a bit or bits carrying the flow control information with a limited amount of user data in the payload of the ATM adaptation layer service data unit.

6. (Amended) The method as claimed in claim 1, comprising said second leg being an ATM connection tunnelling said flow control information in ATM cells in an ATM layer over the ATM connection.

7. (Amended) The method as claimed in claim 1, comprising tunnelling said flow control information over the second leg in an out-of-traffic-channel signalling associated with a connection.

8. (Amended) The method as claimed in claim 1, wherein said step of tunnelling comprises further steps of

recognizing at a first node between the first and second legs a need to start a flow control towards the second leg,

sending a flow control ON request over the second leg,

receiving the flow control ON request at a second node between the second and third legs,

stopping sending new data or decreasing data rate from the second node to the first node over the second leg in response to the flow control ON request.

9. (Amended) The method as claimed in claim 8, wherein said step of tunnelling comprises further steps of

recognizing at the first node a need of stopping the flow control towards the second leg,

sending a flow control OFF request over the second leg,

receiving the flow control OFF request at the second node,

starting sending new data or increasing data rate from the second node to the first over the second leg in response to said flow control off request.

10. (Amended) The method as claimed in claim 1, wherein said step of tunnelling comprises further steps of

recognizing at a first node between the first and second legs a need to start flow control towards the second leg,

sending a flow control ON request over the second leg,

receiving the flow control ON request at a second node between the second and third legs,

activating in the second node flow control towards the third leg in response to the flow control ON request.

11. (Amended) The method as claimed in claim 1, wherein said step of tunnelling comprises further steps of

recognizing at the first node a need of stopping the flow control towards the second leg,

sending a flow control OFF request over the second leg,

receiving the flow control OFF request at the second node,

deactivating in the second node flow control towards the third leg in response to the flow control ON request.

12. (Amended) The method according to claim 1, comprising recognizing the need for starting or stopping the flow control being based on the status of a receiving or transmitting buffer in the first node or on incoming flow control information received over the first leg.

13. (Amended) A telecommunications system, comprising  
a first connection leg supporting flow control on a lower transmission protocol level  
underlying a user level,

an intermediate second connection leg not supporting flow control the lower  
transmission level,

a third connection leg supporting flow control on the lower transmission protocol  
level,

a first node between the first and second legs,

a second node between the second and third legs,

wherein the first and second nodes are arranged to tunnel lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

14. (Amended) The system as claimed in claim 13, wherein the first and second nodes are arranged to tunnel said flow control information in in-channel signalling over the second leg.

15. (Amended) The system as claimed in claim 13, wherein said second leg is an ATM connection, and that said lower transmission protocol level includes an ATM adaptation layer.

16. (Amended) The system as claimed in claim 15, wherein said first and second nodes are arranged to insert the flow control information in an ATM adaptation layer service data unit.

17. (Amended) The system as claimed in claim 14, wherein said second leg is an ATM connection, and that said first and second nodes are arranged to tunnel the flow control information in ATM cells in an ATM layer over the ATM connection.

18. (Amended) The system as claimed in claim 13, wherein the first and second nodes are arranged to tunnel said flow control information in out-of-traffic-channel signalling over the second leg.

19. (Amended) The system as claimed in claim 13, wherein the first and second nodes are arranged to recognize a need to start or stop flow control towards the second leg and to send a flow control ON request or a low control OFF request, respectively, over the second leg, and the first and second peer entities are responsive to receiving the flow control ON request or the flow control OFF request for stopping or starting, respectively, the sending, or decreasing and increasing data rate, respectively, of data towards the second leg,

20. (Amended) The system as claimed in claim 13, wherein the first and second nodes are arranged to recognize a need to start or stop flow control towards the second leg and to send a flow control ON request or a low control OFF request, respectively, over the second leg, and the first and second peer entities are responsive to receiving the flow control ON request or the flow control OFF request for activating or deactivating, respectively, flow control towards the first or the third leg.

21. (Amended) The system as claimed in claim 20, wherein the recognition of the need for starting or stopping the flow control based on the status of a receiving or transmitting buffer in the nodes or on incoming flow control information received over the first or the third leg.

22. (Amended) The system as claimed in claim 13, wherein the system is a mobile communications system, and the first and second nodes are network elements of the mobile communications system, and the first leg is at the air interface between a mobile station and one of said network elements.

IN THE ABSTRACT:

Please substitute the Abstract below for the one originally filed.

(57) Abstract

The invention relates to flow control in data transmission in telecommunications systems, particularly in wireless telecommunications systems. In the present invention flow control information is tunnelled over a connection leg which does not support flow control on a lower transmission protocol layer underlying a user level. The nodes at the both ends of the leg are arranged to use the flow control information to control the data flow on the lower transmission protocol level of the leg. In other words, the transmission of new data on the lower transmission protocol level is ceased or the data rate decreased when the flow control information activates the flow control in the transmitting node, and similarly, the transmission of new data on the lower transmission protocol level is restarted or the data rate increased when the conveyed flow control information deactivates the flow control.

See the attached Appendix for the changes made to effect the claims.

REMARKS

By this Amendment, the application is amended to merely clarify the recited and disclosed subject matter. No new subject matter has been added. An early and favorable Action on the merits is respectfully requested.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By:

  
Christine H. McCarthy  
Reg. No. 41,844  
Telephone: (703) 905-2143  
Fax No.: (703) 905-2500

CHM/jrh

Pillsbury Winthrop LLP  
1600 Tysons Boulevard  
McLean, VA 22102  
Telephone: (703) 905-2000

09/869069  
APPENDIX 531 Rec'd PCT/US 22 JUN 2001  
VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION:

Please insert the following paragraph at page 9, line 9:

The flow control mechanism may be a mechanism using Flow Control ON/Flow Control OFF type of flow control. In this case, when the flow control is set ON by the receiving network element on one side of the tunnel, e.g. in the RNC, the transmitting network element, e.g., the MSC, on the other side of the tunnel sends no data until flow control is set OFF. Alternatively, a dynamic flow control mechanism may be used. In this mechanism, the RNC tells the MSC to reduce the data rate when the need for flow control is recognized. For example, the data rate may be reduced to 75 %, 50 %, 25 % or 0 % of the nominal data rate of the connection.

IN THE CLAIMS:

1. (Amended) A data transmission method in a telecommunications system, comprising a step of

transmitting data over a connection comprising a first leg supporting flow control on a lower transmission protocol level underlying a user level, an intermediate second leg not supporting flow control on the lower transmission level, and a third leg supporting flow control on the lower transmission protocol level,

[characterized by further steps of]

tunnelling lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

2. (Amended) The method as claimed in claim 1, [c h a r a c t e r i z e d by]  
comprising tunnelling said flow control information as in-channel signalling over the second  
leg.

3. (Amended) The method as claimed in claim 1 [or 2], [c h a r a c t e r i z e d in  
that] wherein said second leg is an ATM connection, and that said lower transmission  
protocol level includes an ATM adaptation layer.

4. (Amended) The method as claimed in claim 3, [c h a r a c t e r i z e d by]  
encapsulating the flow control information in an ATM adaptation layer service data unit,  
transporting the ATM adaptation layer service data unit to the other end of the second  
leg in accordance with an ATM network protocol,  
extracting the flow control information from the ATM adaptation layer service data  
unit at said other end of the second leg.

5. (Amended) The method as claimed in claim [3 or] 4, [c h a r a c t e r i z e d by]  
comprising inserting the flow control information in the ATM adaptation layer service data  
unit in one of the following ways:

inserting an octet carrying the flow control information before a first user data octet in  
a payload field of the ATM adaptation layer service data unit,

inserting a bit or bits carrying the flow control information before first user data bits  
in a payload field of the ATM adaptation layer service data unit,

inserting an octet or a bit or bits carrying the flow control information in the ATM  
adaptation layer service data unit as the only payload information in the payload field, or

inserting an octet or a bit or bits carrying the flow control information with a limited amount of user data in the payload of the ATM adaptation layer service data unit.

6. (Amended) The method as claimed in claim 1,[2 or 3, characterized by]  
comprising said second leg being an ATM connection tunnelling said flow control information in ATM cells in an ATM layer over the ATM connection.

7. (Amended) The method as claimed in claim 1[ or 3], [characterized by]  
comprising tunnelling said flow control information over the second leg in an out-of-traffic-channel signalling associated with a connection.

8. (Amended) The method as claimed in [any one of claims 1-7] claim 1,  
[characterized by] wherein said step of tunnelling comprising further steps of recognizing at a first node between the first and second legs a need to start a flow control towards the second leg,

sending a flow control ON request over the second leg,  
receiving the flow control ON request at a second node between the second and third legs,

stopping sending new data or decreasing data rate from the second node to the first node over the second leg in response to the flow control ON request.

9. (Amended) The method as claimed in claim 8, [characterized by] wherein said step of tunnelling comprising further steps of recognizing at the first node a need of stopping the flow control towards the second leg,

sending a flow control OFF request over the second leg,  
receiving the flow control OFF request at the second node,  
starting sending new data or increasing data rate from the second node to the first over  
the second leg in response to said flow control off request.

10. (Amended) The method as claimed in [any one of claims 1-9] claim 1,  
characterized by said step of tunnelling comprising further steps of  
recognizing at a first node between the first and second legs a need to start flow  
control towards the second leg,  
sending a flow control ON request over the second leg,  
receiving the flow control ON request at a second node between the second and third  
legs,  
activating in the second node flow control towards the third leg in response to the  
flow control ON request.

11. (Amended) The method as claimed in [any one of claims 1-10] claim 1,  
[characterized by] wherein said step of tunnelling comprising further steps of  
recognizing at the first node a need of stopping the flow control towards the second  
leg,  
sending a flow control OFF request over the second leg,  
receiving the flow control OFF request at the second node,  
deactivating in the second node flow control towards the third leg in response to the  
flow control ON request.

12. (Amended) The method according to [any one of claims 1-11] claim 1,  
[characterized by] comprising recognizing the need for starting or stopping the flow  
control being based on the status of a receiving or transmitting buffer in the first node or on  
incoming flow control information received over the first leg.

13. (Amended) A telecommunications system, comprising  
a first connection leg supporting flow control on a lower transmission protocol level  
underlying a user level,

an intermediate second connection leg not supporting flow control the lower  
transmission level,

a third connection leg supporting flow control on the lower transmission protocol  
level,

a first node between the first and second legs,  
a second node between the second and third legs,

[characterized in that] wherein the first and second nodes are arranged to  
tunnel lower level flow control information transparently over the lower transmission  
protocol level of the second leg between said first and third legs in order to provide end-to-  
end flow control and thereby data integrity over the connection on the lower transmission  
protocol layer.

14. (Amended) The system as claimed in claim 13, [characterized in that]  
wherein the first and second nodes are arranged to tunnel said flow control information in in-  
channel signalling over the second leg.

15. (Amended) The system as claimed in claim 13[ or 14], [c h a r a c t e r i z e d in that] wherein said second leg is an ATM connection, and that said lower transmission protocol level includes an ATM adaptation layer.

16. (Amended) The system as claimed in claim 15, [c h a r a c t e r i z e d in that] wherein said first and second nodes are arranged to insert the flow control information in an ATM adaptation layer service data unit.

17. (Amended) The system as claimed in claim 14[ or 15], [c h a r a c t e r i z e d in that] wherein said second leg is an ATM connection, and that said first and second nodes are arranged to tunnel the flow control information in ATM cells in an ATM layer over the ATM connection.

18. (Amended) The system as claimed in [any one of claims 13 or 15] claim 13, [c h a r a c t e r i z e d in that] wherein the first and second nodes are arranged to tunnel said flow control information in out-of-traffic-channel signalling over the second leg.

19. (Amended) The system as claimed in [any one of claims 13-18] claim 13, [c h a r a c t e r i z e d in that] wherein the first and second nodes are arranged to recognize a need to start or stop flow control towards the second leg and to send a flow control ON request or a low control OFF request, respectively, over the second leg, and the first and second peer entities are responsive to receiving the flow control ON request or the flow control OFF request for stopping or starting, respectively, the sending, or decreasing and increasing data rate, respectively, of data towards the second leg.

20. (Amended) The system as claimed in [any one of claims 13-19] claim 13,  
[c h a r a c t e r i z e d in that] wherein the first and second nodes are arranged to recognize a  
need to start or stop flow control towards the second leg and to send a flow control ON  
request or a low control OFF request, respectively, over the second leg, and the first and  
second peer entities are responsive to receiving the flow control ON request or the flow  
control OFF request for activating or deactivating, respectively, flow control towards the first  
or the third leg.

21. (Amended) The system as claimed in [any one of claims 13-20] claim 20,  
[c h a r a c t e r i z e d in that] wherein the recognition of the need for starting or stopping the  
flow control based on the status of a receiving or transmitting buffer in the nodes or on  
incoming flow control information received over the first or the third leg.

22. (Amended) The system as claimed in [any one of claims 13-21] claim 13,  
[c h a r a c t e r i z e d in that] wherein the system is a mobile communications system, and  
that the first and second nodes are network elements of the mobile communications system,  
and that the first leg is at the air interface between a mobile station and one of said network  
elements.

(57) Abstract

The invention relates to flow control in data transmission in telecommunications systems, particularly in wireless telecommunications systems. In the present invention flow control information is tunnelled over a connection leg [(Iu)] which does not support flow control on a lower transmission protocol layer [(ATM)] underlying a user level [(LAC)]. The nodes [(RNC, 3G MSC)] at the both ends of the leg [(Iu)] are arranged to use the flow control information to control the data flow on the lower transmission protocol level [(ATM)] of the leg. In other words, the transmission of new data on the lower transmission protocol level [(ATM)] is ceased or the data rate decreased when the flow control information activates the flow control in the transmitting node, and similarly, the transmission of new data on the lower transmission protocol level [(ATM)] is restarted or the data rate increased when the conveyed flow control information deactivates the flow control. [The need of flow control may be recognized from the receiving buffer status on the lower transmission protocol level or from incoming flow control information received over the following leg of the connection in the downlink direction.]

(Fig. 2)

## **Flow control method in a telecommunications system**

### **Field of the Invention**

The invention relates to flow control in data transmission in telecommunications systems, particularly in wireless telecommunications systems.

### **5 Background of the Invention**

Wireless communications systems refer generally to any telecommunications system which enables wireless communication between the users and the network. In mobile communications systems users are capable of moving within the service area of the system. A typical mobile communications system is a public land mobile network (PLMN).

10 The present third generation mobile systems, such as Universal Mobile Communications system (UMTS) and Future Public Land Mobile Telecommunications system (FPLMTS), later renamed as IMT-2000 (International Mobile Telecommunication 2000), are being developed. The UMTS is being 15 standardized in the ETSI (European Telecommunication Standards Institute) whereas the ITU (International Telecommunication Union) is defining the IMT-2000 system. The radio interface is likely to be based on a wide band CDMA (Code Division Multiple Access), and therefore the third generation systems are often referred to as wide band CDMA systems (WCDMA). These future 20 systems are basically very alike.

Figure 1 shows a simplified UMTS architecture with external reference points and interfaces to the UMTS terrestrial radio access network, UTRAN. The UTRAN consists of a set of radio access networks RAN (also called radio network subsystem RNS) connected to the core network (CN) 25 through the interface Iu. These radio network subsystems can be interconnected together through an interconnection point (reference point) Iur. The interfaces Iu(s) and Iur are logical interfaces. The Iur can be converged over physical direct connection between RANs or via any suitable transport network. Each RAN is responsible for the resources of its set of cells. On each 30 connection between a mobile station MS and the UTRAN, one RAN is a serving RAN. A RAN consists of a radio network controller RNC and a multiplicity of base stations BS. The RNC is responsible for the handover decisions that require signalling to the MS. The base stations are connected to the RNC through the Iub interface. The core network CN is a conventional or future

telecommunications network modified to efficiently utilize the UTRAN in wireless communication. Telecommunications networks that are thought to be suitable core networks include second generation mobile communications systems, such as GSM, and other telecommunications systems, such as ISDN  
5 (Integrated Services Digital Network), BISDN (Broadband ISDN), PDN (Packet Data Network), etc.

Transition to the use of third generation mobile systems will occur in steps. In the first step the 3G radio access network will be introduced into the 2G network infrastructure. Such a "hybrid system" is illustrated by the RNC1  
10 connected to a 2GMSC10 in Fig.1. As the 3G radio access network will not be compatible to the 2GMSC, it is apparent that such a mixed architecture requires interworking between the 2G and the 3G elements. This interworking is typically depicted as an interworking unit (IWU), such as IWU11 in Fig. 1. The general requirement is that no modifications are allowed in the 2G system (in  
15 the 2GMSC), and thereby the interface between the 2MSC and the IWU must be a pure A interface according to the GSM specifications. Later the development will result in a situation where pure 3G mobile systems exist side by side with the 2G mobile systems or the hybrid systems. In Figure 1, the RNC2 and the third generation MSC12 illustrate a pure 3G system.

Figure 2 illustrates protocol stacks which may be employed in a pure 3G mobile system. A traffic channel (the user plane) layer between the mobile station MS and the RNC uses a radio link control (RLC) protocol and the medium access control (MAC). The RLC provides a radio-solution dependent on a reliable link over the radio path. It takes care of segmentation  
20 and assembly of the data from and to the upper layer before and after transmission over the radio path, respectively, as well as of retransmissions. Under the RLC the MAC function controls the mapping of the RLC protocol data units (RLC-PDUs) into physical channels in the physical layer. The physical layer includes all the schemes and mechanisms used to make communication possible  
25 on the radio channel. These mechanisms include, for example, modulation, power control, coding and timing. Wide band CDMA (WCDMA) and time division CDMA (TD-CDMA) are mentioned as examples of multiple access methods which can be used in the radio interface.

In the interface lu between the radio network controller RNC and the  
35 mobile switching centre MSC or the IWU, a potential candidate for transfer technique is the ATM (Asynchronous Transfer Mode). The ATM transmission

technique is a switching and multiplexing solution particularly related to a data link layer (i.e. OSI layer 2, hereinafter referred to as an ATM layer). In the ATM data transmission the end users' data traffic is carried from a source to a destination through virtual connections. Data is transferred over switches of the

- 5 network in standard-size packets called ATM cells. The ATM cell comprises a header, the main object of which is to identify a connection number for a sequence of cells forming a virtual channel for a particular call. A physical layer (i.e. OSI layer 1) may comprise several virtual paths multiplexed in the ATM layer. The ATM layer contains an ATM adaptation layer (AAL) which enhances
- 10 the service provided by the ATM layer to support functions required by the next higher layer. The AAL performs functions required by the user and control and management planes and supports the mapping between the ATM layer and the next higher layer. The functions performed in the AAL depend upon the higher layer requirements. At the moment there are three different types of
- 15 AAL, namely type 1 AAL (AAL1), type 2 AAL (AAL2) and type 5 AAL (AAL5).

A further retransmitting and error correcting protocol (LAC, Link Access Control) may or may not be specified to be used between the MS and the RNC or between the MS and the MSC (or the IWU), as shown in Figures 2 and 3. The LAC, if any, may be similar to the radio link protocol (RLP) employed in the GSM system. The circuit switched leg from the MSC to the fixed network uses standard PSTN or ISDN protocols (e.g. ISDN V.120) or possibly some other protocols on a dedicated connection.

Figure 3 illustrates protocol stacks in a hybrid system in which a 3G radio access is connected to a 2GMSC via an interworking unit (IWU). In this case the ATM connection is terminated in the IWU. The leg between the IWU and the 2GMSC uses standard GSM protocols (the interface A). The interface between the MS and the RNC as well as the interface Iu are between the RNC and the IWU are similar to those described with reference to Figure 1.

The data rates on the concatenated legs (e.g., MS-RNC, RNC-

- 30 MSC, MSC-fixed network) may be different in a circuit switched data call. The legs may have different nominal rates due to the different capacity of the channels and/or various retransmission conditions may make the effective data rate of a leg lower than the nominal data rate. Retransmissions can take place both on the MS-RNC leg with the MAC/RLC protocol (and possibly with
- 35 the LAC protocol) and on the MSC-fixed network leg with the V.120 protocol,

for example. If the LAC is implemented between the MS and the MSC (or the IWU), retransmissions can take place on this leg too on a higher protocol level.

The MAC/RLC and LAC protocols and many fixed network protocols, such as V.120, have inherent flow control mechanisms. The flow control  
5 is used by a receiver at one end of the leg or connection to control the data transmission from a transmitter at the other end of the leg or connection. When the receiver is not able to process e.g. forward the data at the same speed as it is received from the leg or connection, the receiving buffer starts to fill up. In such a situation the receiver may send a flow control ON request to  
10 the transmitter, which goes into a 'flow control active' mode. In the 'flow control active' mode the transmitter ceases the transmission of new data to the receiver or decreases the data rate. Moreover, in case of concatenated legs, the transmitting end may also activate the flow control in the previous leg in order to avoid the filling up of the receiving buffer since it is not able to forward the  
15 received data in the 'active flow control' mode. As a result, the overflow of receiving buffers and discarding of user data can be avoided in each leg of the end-to-end connection and thereby over the whole end-to-end connection. As a result data integrity is also maintained.

However, no end-to-end connection related flow control is supported by the current ATM specifications. The ATM only supports flow control from the user to the network. If the flow control is active, the network discards cells instead of sending them to the user. Another flow control mechanism supported by the ATM is based on the recognition of a congestion in the network; in case of congestion lower priority cells are discarded by the network.  
25 The discarding of data will deteriorate the data integrity.

The fact that the end-to-end flow control is not supported by the ATM results in problems when the ATM is used in the interface Iu between the RNC and the 3GMSC or the IWU, as shown in Figures 2 and 3. More particularly, one leg along the end-to-end connection consisting of various concatenated legs does not support flow control, and therefore the end-to-end flow control fails. Let us study the situation more carefully with respect to Figures 2 and 3. In case there is no LAC over any of the legs or the LAC is operating between the MS and the RNC only, the current flow control mechanisms cannot guarantee data integrity in a circuit switched data call in the 3G mobile  
30 network using the ATM connection between the RNC and the MSC (or the IWU). The flow control activated towards the ATM leg does not stop sending  
35

data from the sending entity but leads to an overflow of receiving buffers or to discarding of ATM cells carrying user data. In case the LAC is operating between the MS and the MSC (or the IWU), the current flow control mechanisms require a huge buffer (a whole LAC retransmission window) in the RNC to

5 guarantee the data integrity in a circuit switched data call in the 3G network using the ATM connection between the RNC and the MSC (or the IWU). The flow control towards the ATM leg does not stop sending data from the sending entity but leads to an overflow of the receiving buffer or to discarding of ATM cells carrying user data. In the latter case the use of the huge buffer in order to

10 maintain the integrity of the data is very impractical due to the memory requirements in the RNC. In any case this will not work at all if there is no LAC at all or the LAC operates only between the MS and the RNC.

A similar problem may be encountered in any telecommunications system in which one or more of the concatenated connection legs do not support flow control.

#### **Disclosure of the Invention**

An object of the invention is to overcome the above problems in the flow control in telecommunications systems.

An aspect of the present invention is a data transmission method in  
20 a telecommunications system, comprising the steps of  
transmitting data over a connection comprising a first leg supporting  
flow control on a lower transmission protocol level underlying a user level, an  
intermediate second leg not supporting flow control on the lower transmission  
level, and a third leg supporting flow control on the lower transmission protocol  
25 level,

tunnelling lower level flow control information transparently over the  
lower transmission protocol level of the second leg between said first and third  
legs in order to provide end-to-end flow control and thereby data integrity over  
the connection on the lower transmission protocol layer.

30 Another aspect of the present invention is a telecommunications  
system, comprising  
a first connection leg supporting flow control on a lower transmis-  
sion protocol level underlying a user level,  
an intermediate second connection leg not supporting flow control  
35 on the lower transmission level,

a third connection leg supporting flow control on the lower transmission protocol level,

a first node between the first and second legs,

a second node between the second and third legs,

5 the first and second nodes being arranged to tunnel lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

10 In the present invention flow control information is tunneled over the leg which does not support flow control on a lower transmission protocol layer underlying a user level. The nodes at the both ends of the leg are arranged to use the flow control information to control the data flow on the lower transmission protocol level of the leg. In other words, the transmission of new 15 data on the lower transmission protocol level is ceased or the data rate is decreased when the flow control information activates the flow control in the transmitting node, and similarly, the transmission of new data on the lower transmission protocol level is restarted or the data rate is increased when the conveyed flow control information deactivates the flow control. The need of 20 flow control may be recognized from the receiving buffer status on the lower transmission protocol level or from incoming flow control information received over the following leg of the connection in the downlink direction.

In order to implement the flow control, the flow control information may be employed by the user layer protocol entity. The user layer entity may 25 implement the above flow control by controlling the data input from the user layer to the underlying lower transmission protocol layer, and/or by activating the flow control mechanism of the lower transmission protocol layer of the previous leg in the uplink direction, e.g. by mapping or converting the tunneled flow control information into the flow control information according to the protocol of the next leg. In the latter case the lower layer protocol entity of the previous leg in the same node may stop forwarding new data to a leg not supporting the flow control, and/or the respective lower protocol entity at the far 30 end of the previous leg may stop sending new data. In each case the data flow on the lower transmission protocol level of the leg not supporting the flow control can be controlled and the overflow of data buffers or discarding of data 35 can be avoided in each leg of the end-to-end connection. As a result, the in-

tegrity of the data can be assured with the lower level flow control mechanisms only, without any need for high-level flow control. Also large buffers will be avoided. The inventive concept also allows, however, the use of a high-level protocol, such as the LAC, in one of the legs of the connection or over the  
5 whole end-to-end connection.

The flow control information may be tunnelled over the leg not supporting the flow control as in-channel signalling or in out-channel signalling associated with a connection.

In the preferred embodiment of the invention the connection leg not  
10 supporting the flow control is an ATM connection, and the lower transmission protocol level includes an ATM adaptation layer. In order to implement the in-channel signalling embodiment, flow control information may be inserted into the ATM adaptation layer service data unit which is then transported over the leg not supporting the flow control to the other end in accordance with an ATM  
15 network protocol. At the other end the flow control information is extracted from the ATM adaptation layer service data unit. In the preferred embodiment of the invention, the flow control information is inserted into the user data field of the ATM adaptation layer service data unit. The in-channel signalling approach is a very flexible and simple way to arrange the tunnelling of the flow  
20 control information. In the out-channel signalling approach, some modification in the signalling messages may be required, depending on the signalling system used.

#### **Brief Description of the Drawings**

The invention will now be described by means of preferred embodiments with reference to the attached drawings, in which  
25

Figure 1 shows a simplified UMTS architecture,

Figure 2 illustrates the protocol stacks which may be used in a pure third generation mobile system,

Figure 3 shows protocol stacks which may be used in a mixed 3G  
30 and 2G mobile system,

#### **Preferred Embodiments of the Invention**

The preferred embodiments of the invention are described in the following as implemented in the third generation mobile system when the transport network is an ATM network. However, the aim is not to restrict the

invention to these embodiments. The invention is applicable to be used in any telecommunications system in which an intermediate connection leg fails to support any lower level flow control mechanism while such a flow control mechanism is supported by the connection legs or equipment to which the intermediate leg is connected to. As used herein, the term leg may also refer to a user interface between a node and user equipment or application connected thereto.

The architecture of the UMTS access network was described above with reference to Figure 1, and examples of the protocol stacks were illustrated

10 in Figures 2 and 3.

With reference to Figures 2 and 3, the relay layer in the RNC and in

the 3GMSC or the IWU illustrates any high-layer protocols or entities required. In the UTRAN reference model as well as in the ATM reference model, these higher layers are defined to include a user plane and the control plane. In the

15 UTRAN the control plane is further divided into a radio network control plane and a transport network control plane for radio network control and signalling. The transport network control plane is designed for the ATM based transport network in the interface Iu. Both the standardized ATM connection control signalling (PNNI, UNI, BISUP) and AAL2/AAL5 signalling protocol are available.

20 The user (the protocol entity) in the user or control plane has an access to the underlying ATM adaptation layer, or even to the ATM layer, through an ATM adaptation layer service access point (AAL/SAP) both in the RNC and in the 3GMSC (Figure 2) or the IWU (Figure 3). Thereby, the AAL service provides a capability to transfer ATM adaptation layer service data units (AAL-SDU) from

25 one AA-SAP to one other AAL-SAP in the interface Iu through the ATM network. In the RNC the same user entity or a user entity it co-operates with has access to a RLC entity in the RNC-MS leg. In the 3GMSC the AAL-SAP user is the LAC and/or the interworking function on the relay level. The interworking function has access to a protocol employed on the lower transmission protocol

30 level in a fixed network, such as V.120. Also in the IWU the AAL-SAP user is the LAC and/or the interworking function on the relay level. The interworking function has access to an entity in the lower level GSM protocol, such as RLP or RA (rate adaption), employed in the IWU-MSC leg in Figure 3. In the 2GMSC the relay layer provides standard interworking between the GSM pro-

35 tocols and the fixed network protocols.

As noted above, the ATM leg does not support a flow control mechanism which would assure the data integrity. Therefore, in accordance with the present invention, the higher layer entities in the RNC, the 3GMSC and the IWU are provided with the capability to recognize a need for flow control in the ATM leg and to tunnel the associated flow control information over the ATM leg to the high level entity at the transmitting end. The transmitting entity is arranged to implement the flow control in the ATM leg on the basis of the tunneled information.

5 In the following, the preferred embodiments are described with  
10 the ON/OFF mechanism, but alternatively the dynamic flow control can be used in these embodiment.

The first embodiment of the invention in which the tunnelling is implemented by in-channel signalling will now be described.

15 Let us assume that data is transmitted from the mobile station MS to the 3GMSC and further to the fixed network in the system of Figure 2. A RLC/MAC link with flow control is established between the MS and RNC. An ATM connection is established between the RNC and the 3GMSC. An LAC protocol link is established between the MS and the 3GMSC. A fixed network traffic channel using V.120 protocol is established between the 3GMSC and 20 the other party in the fixed network.

The need for the flow control is recognized by the user of the AAL-SAP in the RNC or the 3GMSC. The need for the flow control can be recognized, for example, from the lower layer receiving or transmitting buffer status in the ATM leg or from the flow control request incoming from the following leg 25 in the downlink, i.e. from the mobile station MS to the RNC or from the PSTN/ISDN to the MSC or IWU. According to the in-channel signalling approach of the present invention, a flow control request is provided by the user of the AAL-SAP upon detecting the need to activate or deactivate the flow control towards the ATM leg. The flow control request is packed into the AAL-SDU, preferably into the payload part of it. In the preferred embodiment of the invention the packing is performed in the service-specific convergence sub-layer (SSCS) which is the uppermost sub-layer in the AAL layer and can be modified in this respect. The user of the AAL-SAP is arranged to, via the AAL-SAP, to command the SSCS to send the flow control ON request or the flow 30 control OFF request, depending whether the flow control is to be activated or deactivated. Alternatively, the flow control information may be added to the 35

user data prior to inputting the user data to the AAL layer in which case the user information will be inherently packed into the AAL-SDU. The AAL-SDU is transported through the AAL lower layers and the ATM layer to the other end of the ATM connection (e.g. from the RNC to the MSC or vice versa) as specified in the ATM specifications. The receiving AAL-SAP user or the SSCS sub-layer extracts the flow control information from the received AAL-SDU. The received flow control information is used to implement the flow control. This may include mapping or converting the flow control information into the flow control request according to the previous leg (e.g. the MS-RNC or the MSC-fixed network) in order to activate or deactivate the flow control towards the previous leg. The implementation of the flow control may also include adapting the AAL-SAP user's own operations to the status of the flow control indicated by the received flow control information, e.g. stopping the sending of new data if the flow control request indicates that the flow control is active (ON), and restarting the sending of new data if the flow control request indicates that the flow control is deactivated (OFF).

There are several alternative ways for packing the flow control information into the AAL-SDU.

- 1) An octet carrying the flow control request (ON or OFF) is always inserted in the AAL-SDU, for example before the first user data octet. A receiving entity will always know that the first payload octet contains flow control information (and perhaps some other status/control information). This is applicable to all AAL types, i.e. AAL1, AAL2 and AAL5.
- 2) A bit or bits carrying the flow control request (ON or OFF) is/are always inserted in the AAL-SDU, for example before the first user data bits. The receiving entity will always know that the first payload bit(s) contain(s) flow control information (and perhaps some other non-user-data information). This is applicable to AAL type 1 operating in the non-structured mode, for example.
- 3) An octet or (a) bit(s) carrying the flow control information (and/or other status/control information) is inserted in the AAL-SDU as the only payload information, or with only a limited amount of user data in the payload, in order to make the whole payload fit in one or a limited number of ATM cells. In this way the flow control (and/or status/control) information must not be presented in all AAL-SDUs, but the flow control request is transmitted only when required. The AAL-SDUs carrying the flow control information is in a normal manner provided with a length indication, e.g. a length indicator in the AAL2

and AAL5, or a sequence number in the AAL1. The receiver is able to identify the AAL-SDUs carrying the flow control information by means of this length indication. A short AAL-SDU is carrying flow control and/or status/control information, whereas longer AAL-SDUs are carrying pure user data. This method is applicable to all AAL types.

In the octet based transmission (e.g. AAL5, AAL2) a whole octet is used to carry the flow control (and/or status/control) information, which means that the information can be protected by an error correcting code. This is useful in a service, such as AAL5, which allows corrupted SDUs to be delivered to the service user.

In one embodiment of the invention the user or control plane has access to the ATM layer. The flow control information is transmitted from/to the user or control plane to/from the ATM layer with interlayer primitives or messages. The ATM layer transports the flow control information to the peer ATM layer in an ATM cell. In addition to the flow control information, the cell will carry a connection identifier and cell or payload type identifier in order to enable the receiving ATM entity to deliver the information to the correct user or control entity.

There are several ways how an ATM cell can carry the required information, for example:

- The payload type of an ATM cell is given a dedicated value, e.g. "Connection related information" or "Flow control information". The VPI and VCI fields of the cell are used for the connection identifier (as usual in the ATM. The information field of the cell is used for carrying additional information, e.g. Flow Control ON, Flow Control OFF.

- The payload type of an ATM cell is given a value "user data". The VPI and VCI fields of the cell are given a dedicated value, e.g. "Connection related information" or "Flow control information" or "Signalling information". The information field of the cell is used for carrying the connection identification and additional information, e.g. Flow Control ON, Flow Control OFF.

According to the second aspect of the invention, the flow control information is tunnelled over the ATM leg in out-channel signalling, i.e. using a control plane message.

The user plane protocol entity in the RNC, i.e. MAC/RLC user entity, or in the MSC, i.e. a fixed network protocol user entity, or in the IWU, i.e. a GSM protocol user entity, recognizes the need for flow control towards the

ATM leg of the connection. The recognition may be based on the buffer status or the flow control information received, for example. The user plane protocol entity then indicates the need of the flow control to the call control and signalling entity in the control plane, e.g. by sending a "flow control required in this connection" message with parameters identifying the connection or channel in question.

The control plane sends the flow control request in an out-of-band signalling message associated with the connection to the peer signalling entity behind the ATM connection. In other words, the RNC sends a message to the MSC or the IWU, and the MSC or the IWU sends a message to the RNC. The type or format of the message depends on the signalling system used.

If the ATM user-to-network interface signalling (UNI) is employed, a connection related STATUS message can be used, for example. Only new status parameters values, such as "flow control ON" and "flow control OFF", are defined in the STATUS message. The UNI is defined in the ITU-T Q.2931 which also defines the STATUS message. There are unused parameters values in the STATUS message according to the Q.2931 which can be used for the purpose of the invention.

If the ATM network to network interface signalling (NNI) according to the recommendation ITU-T Q.2763 is used, the user to user signalling (UUS) message, for example, can be used for carrying the flow control information according to the invention.

If another kind of signalling, such as RAN-MAP, is used, a corresponding connection associated message can be employed to transport a flow control ON/OFF request to the peer signalling or call control entity. Because the RAN-MAP is currently under specification, it is easy to specify flow control parameters for a message or even a dedicated message for this purpose. The receiving signalling and call control entity extracts the flow control request from the received signalling message and forwards it to the relevant user plane control entity. The user plane control entity employs the flow control request for implementation of the flow control. For example, the user plane control entity may map the flow control request to the flow control mechanism of the protocol of the previous traffic channel leg, e.g. to the MAC/RLC in the RNC, or to the GSMRLP or GSMRA in the IWU, or to the ISDN V.120 in the MSC, in order to thereby activate or deactivate the flow control towards the previous leg.

The invention was described above in embodiments using circuit-switched connections. However, the invention can also be applied in conjunction with packet data services.

5 The invention should not be limited to the specific examples described herein. Rather, the spirit and the scope of the invention should be construed in accordance with the claims attached hereto.

TUE2290 \* 00053611

**Claims**

1. A data transmission method in a telecommunications system, comprising a step of  
transmitting data over a connection comprising a first leg supporting  
5 flow control on a lower transmission protocol level underlying a user level, an intermediate second leg not supporting flow control on the lower transmission level, and a third leg supporting flow control on the lower transmission protocol level,  
characterized by further steps of  
10 tunnelling lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

15 2. The method as claimed in claim 1, characterized by  
tunnelling said flow control information as in-channel signalling over the second leg.

20 3. The method as claimed in claim 1 or 2, characterized in that said second leg is an ATM connection, and that said lower transmission protocol level includes an ATM adaptation layer.

25 4. The method as claimed in claim 3, characterized by  
encapsulating the flow control information in an ATM adaptation layer service data unit,  
transporting the ATM adaptation layer service data unit to the other end of the second leg in accordance with an ATM network protocol,

30 5. The method as claimed in claim 3 or 4, characterized by  
extracting the flow control information from the ATM adaptation layer service data unit at said other end of the second leg.

35 6. The method as claimed in claim 3 or 4, characterized by inserting the flow control information in the ATM adaptation layer service data unit in one of the following ways:  
inserting an octet carrying the flow control information before a first user data octet in a payload field of the ATM adaptation layer service data unit,  
inserting a bit or bits carrying the flow control information before first user data bits in a payload field of the ATM adaptation layer service data unit,  
inserting an octet or a bit or bits carrying the flow control information in the ATM adaptation layer service data unit as the only payload information in the payload field, or

inserting an octet or a bit or bits carrying the flow control information with a limited amount of user data in the payload of the ATM adaptation layer service data unit.

6. The method as claimed in claim 1, 2 or 3, characterized  
5 by

said second leg being an ATM connection

tunnelling said flow control information in ATM cells in an ATM layer over the ATM connection.

7. The method as claimed in claim 1 or 3, characterized by  
10 tunnelling said flow control information over the second leg in an out-of-traffic-channel signalling associated with a connection.

8. The method as claimed in any one of claims 1-7, characterized by said step of tunnelling comprising further steps of  
recognizing at a first node between the first and second legs a need  
15 to start a flow control towards the second leg,

sending a flow control ON request over the second leg,

receiving the flow control ON request at a second node between the second and third legs,

stopping sending new data or decreasing data rate from the second

20 node to the first node over the second leg in response to the flow control ON request.

9. The method as claimed in claim 8, characterized by said step of tunnelling comprising further steps of  
recognizing at the first node a need of stopping the flow control to-  
25 wards the second leg,

sending a flow control OFF request over the second leg,

receiving the flow control OFF request at the second node,

starting sending new data or increasing data rate from the second node to the first over the second leg in response to said flow control off re-  
30 quest.

10. The method as claimed in any one of claims 1-9, characterized by said step of tunnelling comprising further steps of  
recognizing at a first node between the first and second legs a need to start flow control towards the second leg,

35 sending a flow control ON request over the second leg,

receiving the flow control ON request at a second node between the second and third legs,

activating in the second node flow control towards the third leg in response to the flow control ON request.

5 11. The method as claimed in any one of claims 1-10, characterized by said step of tunnelling comprising further steps of

recognizing at the first node a need of stopping the flow control towards the second leg,

sending a flow control OFF request over the second leg,

10 receiving the flow control OFF request at the second node,

deactivating in the second node flow control towards the third leg in response to the flow control ON request.

12. The method according to any one of claims 1-11, characterized by

15 recognizing the need for starting or stopping the flow control being based on the status of a receiving or transmitting buffer in the first node or on incoming flow control information received over the first leg.

13. A telecommunications system, comprising

20 a first connection leg supporting flow control on a lower transmission protocol level underlying a user level,

an intermediate second connection leg not supporting flow control the lower transmission level,

a third connection leg supporting flow control on the lower transmission protocol level,

25 a first node between the first and second legs,

a second node between the second and third legs,

characterized in that the first and second nodes are arranged to tunnel lower level flow control information transparently over the lower transmission protocol level of the second leg between said first and third 30 legs in order to provide end-to-end flow control and thereby data integrity over the connection on the lower transmission protocol layer.

14. The system as claimed in claim 13, characterized in that the first and second nodes are arranged to tunnel said flow control information in in-channel signalling over the second leg.

15. The system as claimed in claim 13 or 14, characterized in that said second leg is an ATM connection, and that said lower transmission protocol level includes an ATM adaptation layer.

16. The system as claimed in claim 15, characterized in that 5 said first and second nodes are arranged to insert the flow control information in an ATM adaptation layer service data unit.

17. The system as claimed in claim 14 or 15, characterized in that said second leg is an ATM connection, and that said first and second nodes are arranged to tunnel the flow control information in ATM cells in an 10 ATM layer over the ATM connection.

18. The system as claimed in any one of claims 13 or 15, characterized in that the first and second nodes are arranged to tunnel said flow control information in out-of-traffic-channel signalling over the second leg.

19. The system as claimed in any one of claims 13-18, characterized in that the first and second nodes are arranged to recognize a need to start or stop flow control towards the second leg and to send a flow control ON request or a low control OFF request, respectively, over the second leg, and the first and second peer entities are responsive to receiving the flow control ON request or the flow control OFF request for stopping or starting, respectively, the sending, or decreasing and increasing data rate, respectively, of data towards the second leg,

20. The system as claimed in any one of claims 13-19, characterized in that the first and second nodes are arranged to recognize a need to start or stop flow control towards the second leg and to send a flow 25 control ON request or a low control OFF request, respectively, over the second leg, and the first and second peer entities are responsive to receiving the flow control ON request or the flow control OFF request for activating or deactivating, respectively, flow control towards the first or the third leg.

21. The system as claimed in any one of claims 13-20, characterized in that the recognition of the need for starting or stopping the flow control based on the status of a receiving or transmitting buffer in the nodes or on incoming flow control information received over the first or the third leg.

22. The system as claimed in any one of claims 13-21, characterized in that the system is a mobile communications system, and that 35 the first and second nodes are network elements of the mobile communica-

**U.S. National Stage Application of PCT/FI00/00025 RÄSÄNEN et al., atty. dkt. 281445**

tions system, and that the first leg is at the air interface between a mobile station and one of said network elements.

**(57) Abstract**

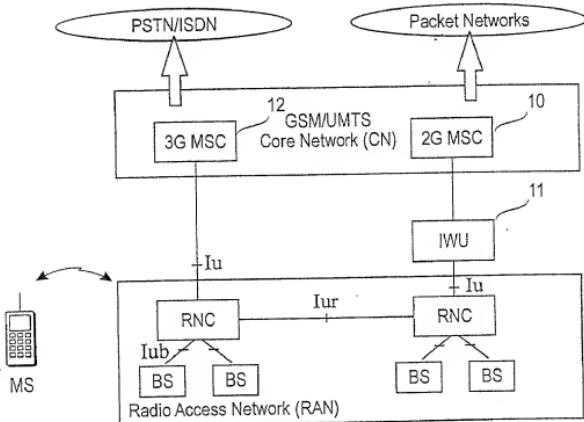
The invention relates to flow control in data transmission in telecommunications systems, particularly in wireless telecommunications systems. In the present invention flow control information is tunneled over a connection leg (lu) which does not support flow control on a lower transmission protocol layer (ATM) underlying a user level (LAC). The nodes (RNC, 3G MSC) at the both ends of the leg (lu) are arranged to use the flow control information to control the data flow on the lower transmission protocol level (ATM) of the leg. In other words, the transmission of new data on the lower transmission protocol level (ATM) is ceased or the data rate decreased when the flow control information activates the flow control in the transmitting node, and similarly, the transmission of new data on the lower transmission protocol level (ATM) is restarted or the data rate increased when the conveyed flow control information deactivates the flow control. The need of flow control may be recognized from the receiving buffer status on the lower transmission protocol level or from incoming flow control information received over the following leg of the connection in the downlink direction.

(Fig. 2)

20

1/1

Fig. 1



09/869069 - 5/16/2011

Fig. 2

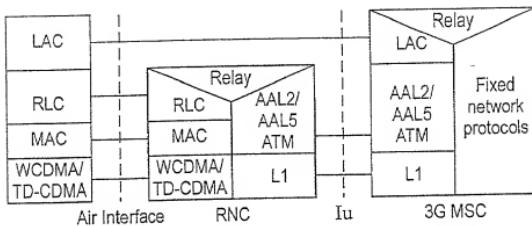
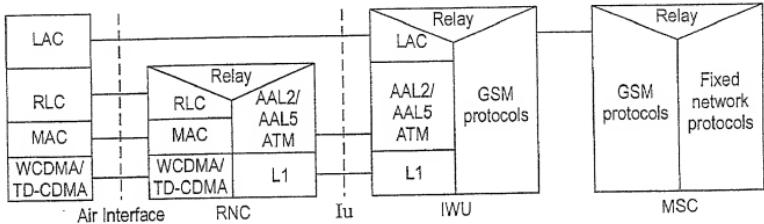


Fig. 3



FOR UTILITY/DESIGN  
CIP/PTC NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NC 14559  
PW  
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED, FLOW CONTROL METHOD IN A TELECOMMUNICATIONS SYSTEM

the specification of which (CHECK applicable BOX(ES))

X  is attached hereto  
BOX(ES) → B.  was filed on \_\_\_\_\_ as U.S. Application No. /  
→ C. x was filed as PCT International Application No. PCT/ 00/00025 on 14 January 2000  
and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(e) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application

PRIOR FOREIGN APPLICATION(S)

Number	Country	Date/Month/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority NOT Claimed
990071	Finland	15 January 1999			

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)  
Application No. (series code/serial no.) Day/Month/Year Filed Status Priority NOT Claimed

Day/Month/Year Filed pending, abandoned, patented

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 661-3000 (to whom all communications are to be directed), and persons of their firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person assigned/attorney my firm organization who will first send this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.

USE ONLY FOR  
PILLSBURY WINTHROP

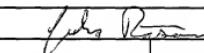
\*00909\*

00909

(1) INVENTOR'S SIGNATURE: 

Date: 25.05.2001

Name	Mikko	First	Middle Initial	Other	Family Name
Residence	Nummijärvi	Finland	FIN	Finland	Country of Citizenship
City	Johanbergintie 102, FIN-04660 Nummijärvi, Finland				
Mailing Address	(include Zip Code)				

(2) INVENTOR'S SIGNATURE: 

Date: 23/05/01

Name	Juha	First	Middle Initial	Räsänen	Family Name
Residence	Espoo	Finland	FIN	Finland	Country of Citizenship
City					
Mailing Address	Pensaskertuntie 8 A, FIN-02660 Espoo, Finland				
(include Zip Code)					

FOR ADDITIONAL INVENTORS see attached page

See additional foreign priorities on attached page (incorporated herein by reference)

Atty Dkt. No. P (MM#)